

# Campaign Finance & Public Disclosure Board

190 Centennial Office Building, 658 Cedar St, St Paul, MN 55155 cfb.mn.gov



## Statement of Economic Interest

Appointed or elected local official in a metropolitan governmental unit under Minn. Stat. §§ 10A.01, subd. 22; and 10A.09, subd. 6a

### Filing instructions (General instructions for completing the form are on page 2)

- This form may be filed by mail or fax sent to the metropolitan governmental unit.
- Address questions to the governing body of the metropolitan governmental unit.

Individual information	Employment information
Name	Occupation
Address at which you wish to receive mail from the metropolitan governmental unit (You may use either a home or business address.)	Name of employer - indicate if self-employed or not employed (include employer as a source of compensation on page 3)
City, state, zip	Business address
Telephone (daytime)	City, state, zip of business
Name of metropolitan governmental unit	Local official position
	Email address

### Period covered (check one and provide dates)

- Original statement \_\_\_\_\_, \_\_\_\_\_  
effective date of appointment
- Annual statement \_\_\_\_\_ to December 31, \_\_\_\_\_  
January 1 or effective date of appointment or first date in elected office
- Amended statement \_\_\_\_\_, \_\_\_\_\_  
date of statement being amended
- Termination statement \_\_\_\_\_, \_\_\_\_\_ to \_\_\_\_\_, \_\_\_\_\_  
date last filed last date as local official

### Certification

I, \_\_\_\_\_, certify that the information contained on this form, including information  
(*print or type name*) on the schedules on page 3, is complete, true, and correct.

\_\_\_\_\_  
Signature of local official

\_\_\_\_\_  
Date

**Notice: Any person who signs and certifies to be true a statement which the person knows contains false information or omits required information is subject to a civil penalty imposed by the Board of up to \$3,000 and is subject to criminal prosecution for a gross misdemeanor.**

### **Occupation**

Your occupation is your usual trade, profession, or employment. It includes categories such as homemaker and retired.

### **Reporting period**

The reporting period for the original statement begins on the first day of the calendar month before the effective date of your appointment. The reporting period for the annual statement is all time served in the calendar year.

### **Reporting sources of compensation**

Based on the definitions below, list all businesses from which you received more than \$250 in compensation in any calendar month during the reporting period. Include any employer listed on page 1.

"Business" means an association, corporation, partnership, limited liability company, limited liability partnership, or other organized legal entity including a government agency. An individual acting alone in the individual's own capacity, such as in the form of a sole proprietorship, is not a business for purposes of this statement.

"Compensation" means any payment for labor or personal services as a director, officer, owner, member, partner, employer, or employee of a business. Compensation also includes payment of honoraria. Compensation does not include payments that do not result from the performance of services, such as rental income social security payments, unemployment compensation, workers' compensation, pension benefits, or insurance benefits

### **Reporting business or profession activity categories**

List the following information:

- 1) The business or professional activity category of each business from which you received more than \$250 in any calendar month as an employee if you also own 25% or more of that business; and
- 2) Any business or professional activity category in which you received compensation of more than \$2,500 in the past 12 months as an independent contractor.

The business and professional activity categories are listed on page 4. You may abbreviate a word as long as the abbreviation sufficiently identifies the category that you are reporting.

### **Reporting securities**

"Securities" means stocks, shares, bonds, warrants, options, pledges, notes, mortgages, annuities, debentures, leases, and commercial paper. "Securities" does not include mutual funds.

List all businesses in which you individually or jointly held securities valued at more than \$10,000 at any time in the reporting period. Use the definition of business in the compensation section above. For securities in a business, list the complete legal name of the business, not the business's stock market abbreviation. Do not list the value of the securities.

Include securities held in Individual Retirement Accounts, deferred compensation plans, 401(k) accounts, or similar plans. Do not include mutual funds or securities held in defined benefit pension plans.

### **Reporting real property**

Do not report your homestead. Report interests in all other real property located in Minnesota that you held individually or jointly on the date you filed your affidavit of candidacy. You must report the following interests: a fee simple interest (you are an owner, even if you owe a mortgage), a mortgage that you hold as a seller, or a contract for deed as a buyer or seller.

Report an option to buy if the value of the option is more than \$2,500 or if the fair market value of the optioned property is more than \$50,000 even if the value of the option itself is \$2,500 or less.

For each property list the county in which the property is located. Also list the complete property address. The complete property address is the street address and city, or if the property does not have a street address, the section, township, and range where the property is located and the approximate acreage.

### **Waiver of reporting of second residence**

Upon written request and for good cause shown, the Board may waive the requirement that a candidate disclose the address of real property that serves as a secondary residence of the candidate. Contact Board staff if you want to request this waiver.

### **Reporting pari-mutuel horseracing interests**

Report any investment, ownership, or interest in property connected with pari-mutuel horse racing in the U.S. or Canada, including a race horse. Include any direct or indirect, partial or full interest held by you or an immediate family member.

Attach additional pages if necessary to complete any of these schedules.

### Sources of compensation

----- Check each applicable box -----

Name of source	Director	Officer	Owner	Member	Partner	Employer	Employee	Honorarium

### Business or professional activity categories

----- Check the applicable box -----

Business or professional activity category (See page 4)	Employee: \$250 in income in month and owns 25% or more of business	Independent contractor: More than \$2,500 in compensation

### Securities

Name of business in which security is held	Name of business in which security is held

### Real property

----- Check one -----

County	Street address and city; or section, township, and range	Own	Mortgage (Held as seller)	Contract for deed (As buyer or seller)	Option to buy - option worth more than \$2,500	Option to buy - property worth more than \$50,000	Acreage (If applicable)

### Pari-mutuel horse racing

----- Check one -----

	Partial interest	Full interest	Description of interest (horse, stable, etc.)
Official direct interest			
Official indirect interest			
Family interest			

## Business and professional activity categories

Use these categories for the business or professional activity category section on page 3. If you need more information to decide which category to use, please see the chart on the Internal Revenue Service website at <http://www.irs.gov/instructions/i1040sc/ch02.html#d0e1929>.

Accommodation  
Administrative and Support Services  
Agriculture, Forestry, Hunting, & Fishing  
Agriculture & Forestry Support Activities  
Amusement, Gambling, & Recreation Industries  
Broadcasting (except Internet) & Telecommunications (including internet service providers)  
Construction of Buildings  
Construction - Heavy and Civil Engineering  
Construction - Specialty Trade Contractors  
Credit Intermediation & Related Activities  
Data Processing Services (including internet publishing)  
Educational Services  
Food Services & Drinking Places  
Health Care - Ambulatory Health Care Services  
Health Care - Hospitals  
Health Care - Nursing & Residential Care Facilities  
Information (publishing industries except internet)  
Insurance Agents, Brokers, & Related Activities  
Manufacturing - Chemical  
Manufacturing - Food  
Manufacturing - Leather & Allied Product  
Manufacturing - Nonmetallic Mineral Product  
Manufacturing - Other  
Mining  
Motion Picture & Sound Recording  
Museums, Historical Sites, & Similar Institutions  
Performing Arts, Spectator Sports, & Related Industries  
Personal & Laundry Services  
Professional, Scientific, & Technical Services (Architectural, Engineering, & Related Services)  
Professional, Scientific, & Technical Services (Computer Systems Design & Related Services)  
Professional, Scientific, & Technical Services (Legal, Accounting, Payroll, & Tax Preparation Services)  
Professional, Scientific, & Technical Services (Specialized Design Services)  
Professional, Scientific, & Technical Services (Other)  
Real Estate  
Religious, Grantmaking, Civic, Professional, & Similar Organizations  
Rental & Leasing Services  
Repair & Maintenance Services  
Retail - Building Material & Garden Equipment & Supplies Dealers  
Retail - Clothing & Accessories Stores  
Retail - Electronic & Appliance Stores  
Retail - Food & Beverage Stores  
Retail - Furniture & Home Furnishing Stores  
Retail - Gasoline Stations  
Retail - General Merchandise Stores  
Retail - Health & Personal Care Stores  
Retail - Motor Vehicle & Parts Dealers  
Retail - Sporting Goods, Hobby, Book, & Music Stores  
Retail - Miscellaneous Store Retailers  
Retail - Nonstore Retailers  
Securities, Commodity Contracts, & Other Financial Investments & Related Activities  
Social Assistance  
Transportation  
Transportation (couriers and messengers)  
Unclassified Establishments (unable to classify)  
Utilities  
Warehousing & Storage Facilities  
Waste Management & Remediation Services  
Wholesale Trade - Merchant Wholesalers - Durable Goods  
Wholesale Trade - Merchant Wholesalers - Nondurable Goods  
Wholesale Electronic Markets and Agents & Brokers